

*AO* *AO* #2 13T 03-26-02  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. 79569-1

In Patent Application of Tiangong Liu, et al

Serial No. 09/988,053

Group Art Unit: 2633

Filed: November 16, 2001

Examiner:

**RECEIVED**

For: HYBRID-INTEGRATED HIGH-SPEED OTDM MODULE

FEB 05 2002

**Technology Center 2600**

**INFORMATION DISCLOSURE STATEMENT**

This Information Disclosure Statement is being filed in the manner prescribed by 37 CFR 1.97(b) - (d) to satisfy the duty under 37 CFR 1.56 to disclose to the Office information, known to individuals associated with the filing and prosecution of the subject application, which is material to the examination of the application.

In accordance with 37 CFR 1.97(g) and (h), this statement is not to be construed as a representation that a search has been made or an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 CFR 1.56(b).

This information disclosure statement is being filed within three months of the filing date of a national application, within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first official action on the merits and therefore applicant respectfully requests consideration under 37 CFR 1.97(b).

In compliance with 37 CFR 1.98(a)(1), a list of all patents, publications or other information submitted for consideration by the Office is hereby provided by way of the attached Form PTO 1449.

In compliance with 37 CFR 1.98(a)(2), also enclosed is a legible copy of:

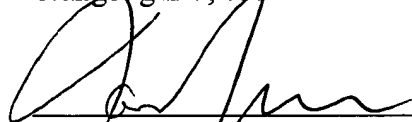
- i) each United States and foreign patent;
- ii) each publication or that portion which caused it to be listed; and
- iii) all other information or that portion which caused it to be listed, excluding any copies of a United States patent application.

It is respectfully requested that the information be expressly considered by the Examiner and that the references be made of record and appear among the "References Cited" on any patent to issue therefrom.

The Patent Office is hereby authorized to charge any deficiency, or credit any overpayment in fees to Deposit Account Number 19-2550.

Respectfully submitted,

Tiangong Liu, et al

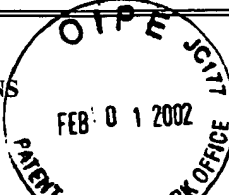


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Dated: January 30, 2002

Encls.: Form PTO-1449  
All references listed on Form PTO-1449  
Acknowledgement Card

<b>Form PTO-1449 (Modified)</b>  <b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)		Atty. Docket No. 79569-1	Serial No. 09/988,053
		Applicant Tiangong Liu, et al	
		Filing Date November 16, 2001	Group 2633

**REFERENCE DESIGNATION U.S. PATENT DOCUMENTS**

EXAM. INIT.		DOCUMENT NUMBER							DATE	NAME	CLASS	SUB CLASS	FIL. DATE IF APPROPRIATE
	AA	5	3	3	1	4	5	1	7/19/94	Mozer	359	139	
	AB	5	9	5	9	7	5	3	9/28/99	Duling, III et al	359	158	
	AC	6	1	1	8	5	6	4	9/12/00	Ooi et al	359	139	
	AD	6	1	7	8	0	2	2	1/23/01	Yoneyama	359	123	
	AE	6	2	6	2	8	2	3	7/17/01	Nowatzky	359	140	
	AF	0	0	1	7	7	2	4	8/30/01	Miyamoto et al	359	158	
	AG	0	0	1	9	4	4	0	9/06/01	Davidson	359	135	
	AH	0	0	1	9	4	4	2	9/06/01	Shikata et al	359	181	
	AI												
	AJ												
	AK												

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**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
													YES	NO
	AL													
	AM													
	AN													
	AO													
	AP													

**OTHER ART (including Author, Title, Date, Pertinent Pages, Etc.)**

	AR		
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<b>EXAMINER</b>		<b>DATE CONSIDERED</b>	

EXAMINER:

Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.